

# Approaches to identify and report support received

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# Why map climate finance and support received

- Comply with the reporting requirements of the UNFCCC
- Follow up on and report support by source and identify potential underutilized vehicles
- Verify and evaluate the information about support provided by sources of climate finance
- Lessons from various experiences of the financial instruments used

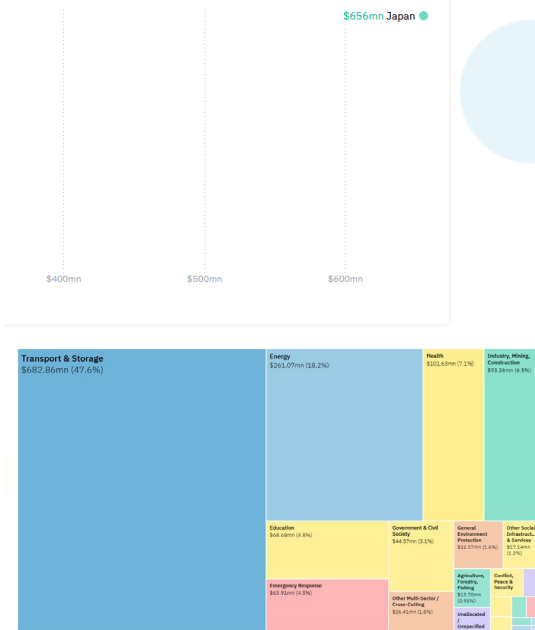
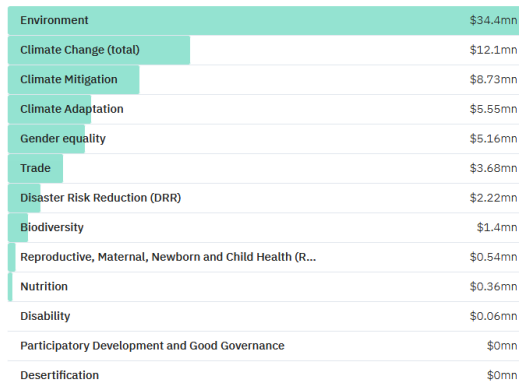
- Improve confidence, transparency and accountability
- Improve understanding of how support is utilized
- Analyse whether the support is effective
- Facilitate the development of policies to enhance climate finance through the provision of more complete data



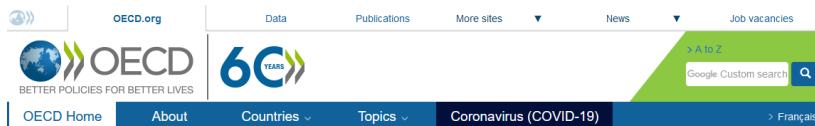



Total development finance to Thailand  
\$1.44 billion

COMPARED TO ALL OTHER RECIPIENTS



# Existing global databases



OECD Home | Development Co-operation Directorate | Financing for sustainable development | Development finance topics | Climate Change: OECD DAC External Development Finance Statistics

## Climate Change: OECD DAC External Development Finance Statistics

OECD development finance statistics capture an integrated picture of both bilateral and multilateral climate-related external development finance flows.

Explore statistics with the following:



Climate-related development finance data (2019)  
Download the pdf

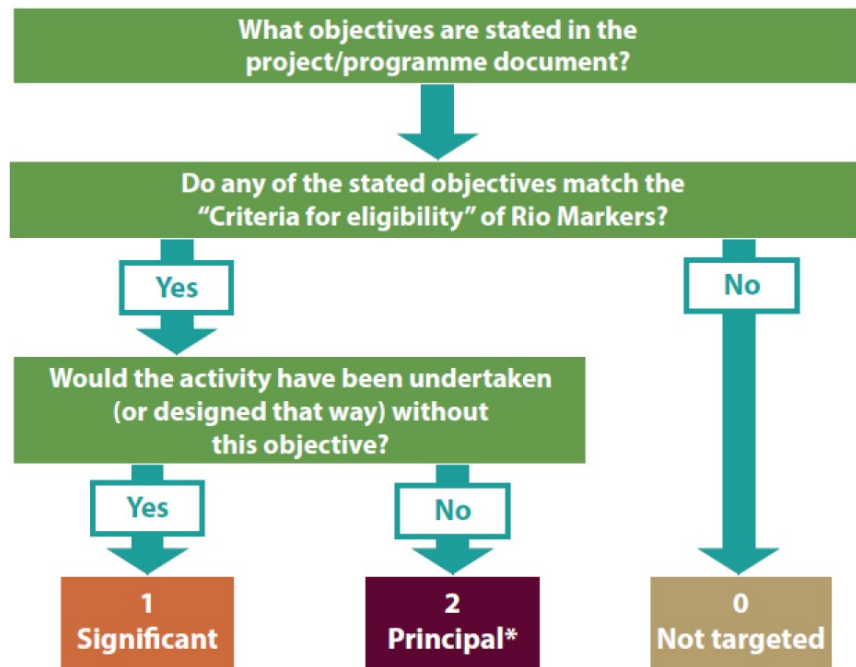
- Definition and guidance for the Climate Rio Markers
- Guidance table for Climate Change Rio markers (xls)
- Methodological note on the OECD-DAC climate-related development finance databases (pdf)
- Results of the survey on the coefficients applied to Rio Marker data when reporting to the UN Conventions on Climate Change and Biodiversity (pdf)
- Imputed multilateral shares (xls)
- Climate-related bilateral development finance by objective (xls)
- Climate-related development finance at the activity level:
  - Recipient perspective (Excel)
  - Provider perspective (Excel)
  - 2000-2009, 2010-2011, 2012-2013, 2014-2015, 2016, 2017, 2018

OECD DAC External Development Finance Statistics: <http://www.oecd.org/dac/financing-sustainable-development/development-finance-topics/climate-change.htm>

- Year
- Provider
- Amounts
- Scope
- Sector/sub-sector
- Financial instrument
- Short description

Year	Prov1	Prov2	Prov3	Prov4	Prov5	Prov6	Prov7	Prov8	Prov9	Prov10	Prov11	Prov12	Prov13	Prov14	Prov15	Prov16	Prov17	Prov18	Prov19	Prov20	Prov21	Prov22	Prov23	Prov24	Prov25	Prov26	Prov27	Prov28	Prov29	Prov30	Prov31	Prov32	Prov33	Prov34	Prov35	Prov36	Prov37	Prov38	Prov39	Prov40	Prov41	Prov42	Prov43	Prov44	Prov45	Prov46	Prov47	Prov48	Prov49	Prov50	Prov51	Prov52	Prov53	Prov54	Prov55	Prov56	Prov57	Prov58	Prov59	Prov60	Prov61	Prov62	Prov63	Prov64	Prov65	Prov66	Prov67	Prov68	Prov69	Prov70	Prov71	Prov72	Prov73	Prov74	Prov75	Prov76	Prov77	Prov78	Prov79	Prov80	Prov81	Prov82	Prov83	Prov84	Prov85	Prov86	Prov87	Prov88	Prov89	Prov90	Prov91	Prov92	Prov93	Prov94	Prov95	Prov96	Prov97	Prov98	Prov99	Prov100	Prov101	Prov102	Prov103	Prov104	Prov105	Prov106	Prov107	Prov108	Prov109	Prov110	Prov111	Prov112	Prov113	Prov114	Prov115	Prov116	Prov117	Prov118	Prov119	Prov120	Prov121	Prov122	Prov123	Prov124	Prov125	Prov126	Prov127	Prov128	Prov129	Prov130	Prov131	Prov132	Prov133	Prov134	Prov135	Prov136	Prov137	Prov138	Prov139	Prov140	Prov141	Prov142	Prov143	Prov144	Prov145	Prov146	Prov147	Prov148	Prov149	Prov150	Prov151	Prov152	Prov153	Prov154	Prov155	Prov156	Prov157	Prov158	Prov159	Prov160	Prov161	Prov162	Prov163	Prov164	Prov165	Prov166	Prov167	Prov168	Prov169	Prov170	Prov171	Prov172	Prov173	Prov174	Prov175	Prov176	Prov177	Prov178	Prov179	Prov180	Prov181	Prov182	Prov183	Prov184	Prov185	Prov186	Prov187	Prov188	Prov189	Prov190	Prov191	Prov192	Prov193	Prov194	Prov195	Prov196	Prov197	Prov198	Prov199	Prov200	Prov201	Prov202	Prov203	Prov204	Prov205	Prov206	Prov207	Prov208	Prov209	Prov210	Prov211	Prov212	Prov213	Prov214	Prov215	Prov216	Prov217	Prov218	Prov219	Prov220	Prov221	Prov222	Prov223	Prov224	Prov225	Prov226	Prov227	Prov228	Prov229	Prov230	Prov231	Prov232	Prov233	Prov234	Prov235	Prov236	Prov237	Prov238	Prov239	Prov240	Prov241	Prov242	Prov243	Prov244	Prov245	Prov246	Prov247	Prov248	Prov249	Prov250	Prov251	Prov252	Prov253	Prov254	Prov255	Prov256	Prov257	Prov258	Prov259	Prov260	Prov261	Prov262	Prov263	Prov264	Prov265	Prov266	Prov267	Prov268	Prov269	Prov270	Prov271	Prov272	Prov273	Prov274	Prov275	Prov276	Prov277	Prov278	Prov279	Prov280	Prov281	Prov282	Prov283	Prov284	Prov285	Prov286	Prov287	Prov288	Prov289	Prov290	Prov291	Prov292	Prov293	Prov294	Prov295	Prov296	Prov297	Prov298	Prov299	Prov300	Prov301	Prov302	Prov303	Prov304	Prov305	Prov306	Prov307	Prov308	Prov309	Prov310	Prov311	Prov312	Prov313	Prov314	Prov315	Prov316	Prov317	Prov318	Prov319	Prov320	Prov321	Prov322	Prov323	Prov324	Prov325	Prov326	Prov327	Prov328	Prov329	Prov330	Prov331	Prov332	Prov333	Prov334	Prov335	Prov336	Prov337	Prov338	Prov339	Prov340	Prov341	Prov342	Prov343	Prov344	Prov345	Prov346	Prov347	Prov348	Prov349	Prov350	Prov351	Prov352	Prov353	Prov354	Prov355	Prov356	Prov357	Prov358	Prov359	Prov360	Prov361	Prov362	Prov363	Prov364	Prov365	Prov366	Prov367	Prov368	Prov369	Prov370	Prov371	Prov372	Prov373	Prov374	Prov375	Prov376	Prov377	Prov378	Prov379	Prov380	Prov381	Prov382	Prov383	Prov384	Prov385	Prov386	Prov387	Prov388	Prov389	Prov390	Prov391	Prov392	Prov393	Prov394	Prov395	Prov396	Prov397	Prov398	Prov399	Prov400	Prov401	Prov402	Prov403	Prov404	Prov405	Prov406	Prov407	Prov408	Prov409	Prov410	Prov411	Prov412	Prov413	Prov414	Prov415	Prov416	Prov417	Prov418	Prov419	Prov420	Prov421	Prov422	Prov423	Prov424	Prov425	Prov426	Prov427	Prov428	Prov429	Prov430	Prov431	Prov432	Prov433	Prov434	Prov435	Prov436	Prov437	Prov438	Prov439	Prov440	Prov441	Prov442	Prov443	Prov444	Prov445	Prov446	Prov447	Prov448	Prov449	Prov450	Prov451	Prov452	Prov453	Prov454	Prov455	Prov456	Prov457	Prov458	Prov459	Prov460	Prov461	Prov462	Prov463	Prov464	Prov465	Prov466	Prov467	Prov468	Prov469	Prov470	Prov471	Prov472	Prov473	Prov474	Prov475	Prov476	Prov477	Prov478	Prov479	Prov480	Prov481	Prov482	Prov483	Prov484	Prov485	Prov486	Prov487	Prov488	Prov489	Prov490	Prov491	Prov492	Prov493	Prov494	Prov495	Prov496	Prov497	Prov498	Prov499	Prov500	Prov501	Prov502	Prov503	Prov504	Prov505	Prov506	Prov507	Prov508	Prov509	Prov510	Prov511	Prov512	Prov513	Prov514	Prov515	Prov516	Prov517	Prov518	Prov519	Prov520	Prov521	Prov522	Prov523	Prov524	Prov525	Prov526	Prov527	Prov528	Prov529	Prov530	Prov531	Prov532	Prov533	Prov534	Prov535	Prov536	Prov537	Prov538	Prov539	Prov540	Prov541	Prov542	Prov543	Prov544	Prov545	Prov546	Prov547	Prov548	Prov549	Prov550	Prov551	Prov552	Prov553	Prov554	Prov555	Prov556	Prov557	Prov558	Prov559	Prov560	Prov561	Prov562	Prov563	Prov564	Prov565	Prov566	Prov567	Prov568	Prov569	Prov570	Prov571	Prov572	Prov573	Prov574	Prov575	Prov576	Prov577	Prov578	Prov579	Prov580	Prov581	Prov582	Prov583	Prov584	Prov585	Prov586	Prov587	Prov588	Prov589	Prov590	Prov591	Prov592	Prov593	Prov594	Prov595	Prov596	Prov597	Prov598	Prov599	Prov600	Prov601	Prov602	Prov603	Prov604	Prov605	Prov606	Prov607	Prov608	Prov609	Prov610	Prov611	Prov612	Prov613	Prov614	Prov615	Prov616	Prov617	Prov618	Prov619	Prov620	Prov621	Prov622	Prov623	Prov624	Prov625	Prov626	Prov627	Prov628	Prov629	Prov630	Prov631	Prov632	Prov633	Prov634	Prov635	Prov636	Prov637	Prov638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# OECD DAC Rio Marker system



Source: OECD, *OECD DAC Rio Markers for Climate Handbook*

Used for financial contributions labelled as Official Development Assistance (ODA)

Policy marker, not calculation of finance, but...

Indicate if the objective is related to environmental issues including climate change

Not Targeted (0)

The activity does not target the objective (mitigation or adaptation) significantly

Significant (1)

Mitigation or adaptation is explicitly stated but it is not the fundamental driver. The activity has other prime objectives but it has been formulated or adjusted to help meet the relevant climate concerns.

Principal (2)

Mitigation or adaptation is explicitly stated as fundamental in the design of, or the motivation for, the activity.

Countries use variety of percentages to estimate climate content of marked contributions e.g. The EU uses 0%, 40% and 100%, respectively)

# Existing tools and experiences - your choice

Map national finance related to climate change:

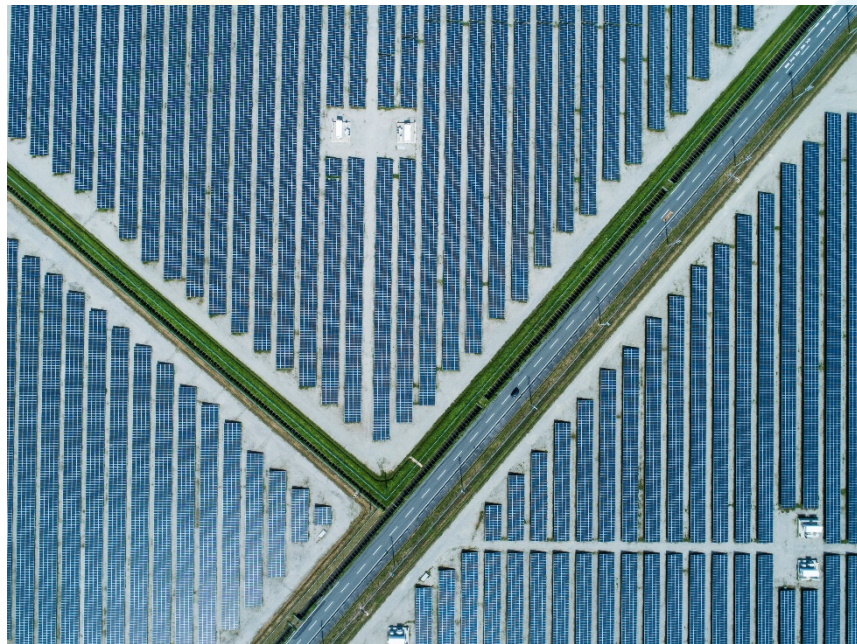
- Quick review of budget and programmes:
  - Rio Markers - Mapping development finance towards the Rio Conventions (support provided from external sources)
- In depth - analysis and review:
  - CPEIR, Climate Public Expenditure and Institutional Review - One-off deeper analysis of national expenditures tailored to the country context

# Rio Markers - Mitigation

Principal or Significant if the activity contributes to:

- a) the mitigation of climate change by **limiting anthropogenic emissions of GHGs**, including gases regulated by the Montreal Protocol; or
- b) the **protection and/or enhancement of GHG sinks and reservoirs**; or
- c) the **integration of climate change concerns** with the recipient countries' development objectives through **institution building, capacity development**, strengthening the regulatory and policy framework, or research; or
- d) developing countries' efforts to **meet their obligations under the Convention**.

Score "principal" if directly and explicitly aims to achieve one or more of the above four criteria.



Source: OECD, *OECD DAC Rio Markers for Climate Handbook*



# Rio Markers - Adaptation

Principal or Significant if the activity intends to:  
**Reduce the vulnerability** of human or natural systems to the current and expected impacts of climate change

- a) the climate change adaptation objective is explicitly indicated in the activity documentation; and
- b) the activity contains specific measures targeting the definition above.

To guide scoring as principal:

- **Setting out the context of risks, vulnerabilities and impacts** related to climate variability and climate change
- Stating the **intent to address the identified risks, vulnerabilities and impacts** in project documentation
- Demonstrating a **clear and direct link** between the identified risks, vulnerabilities and impacts and the specific project activities

Source: OECD, *OECD DAC Rio Markers for Climate Handbook*



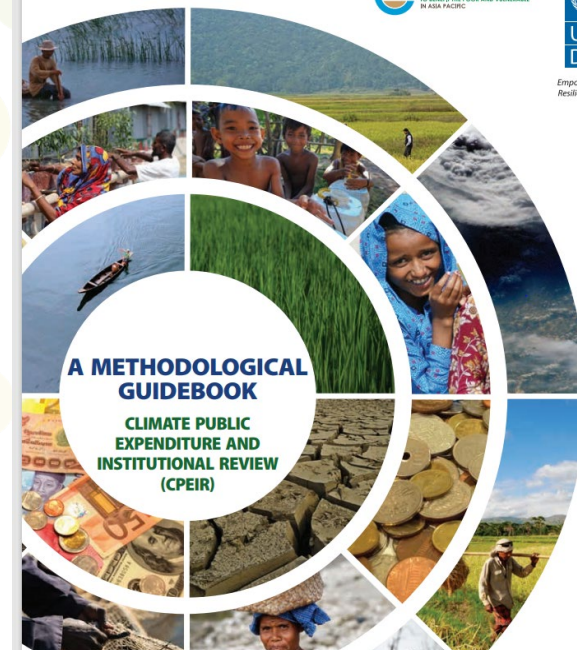


# Example of scoring - Rio Markers

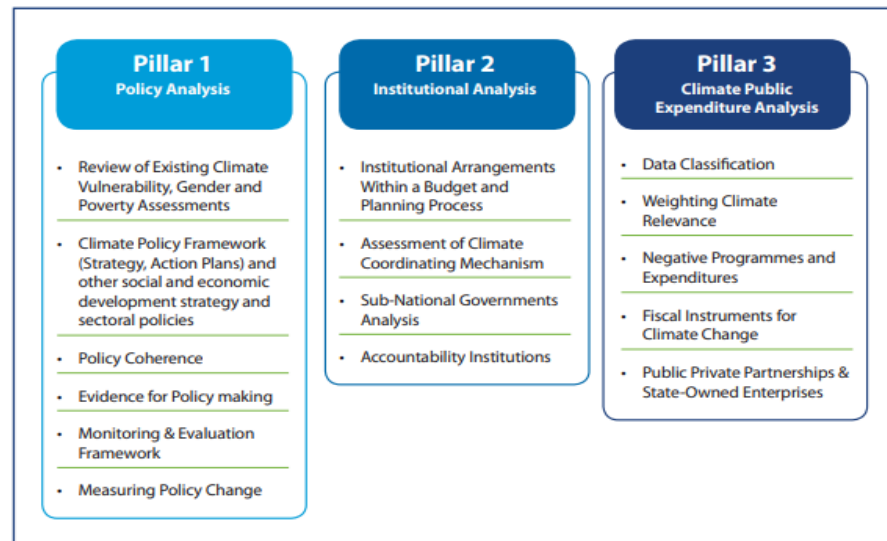
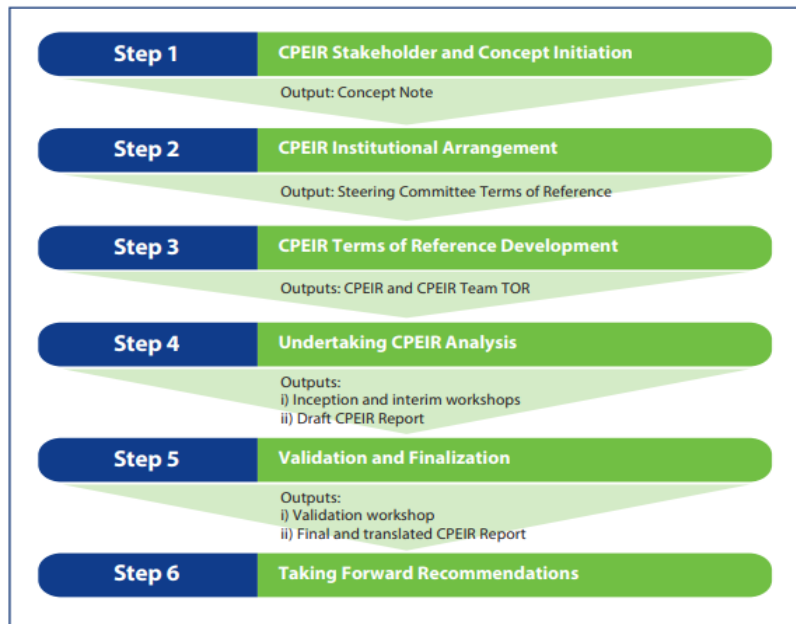
Provider country	Recipient Country	Project Title	Finance Instrument	USD amount '000	Sector	Mitigation	Adaptation
Germany	Morocco	Solar power plant	ODA loan	437,840	Energy generation and supply	2	0

## CPEIR - largely building upon UNDP handbook

- Similar to other Public Expenditure Reviews normally conducted by Ministries of Finance, however with an added focus on policies and institutional set up
- Objectives amongst others:
  - Improves the understanding of the roles and responsibilities of institutions, and their coordination, in implementing climate actions.
  - Quantifies climate related expenditures through the budgetary system and extra-budgetary channels. Provides a tool for the future to track climate finance through national delivery channels.
  - Identifies opportunities and constraints for integrating climate change within the national and sub-national budget allocation and expenditure process. Thus, can serve as a starting point to strengthen cross-government coordination, incl. with Finance and Planning Ministries, local governments, as well as private sector, civil society and development partners
  - Can map the linkages between climate vulnerability areas (by geography, sector, and population groups) and climate responses. Through this, the CPEIR will be able to identify the gaps, if any, in climate policies to protect and benefit the vulnerability groups and opportunities to redirect policies and budget allocations accordingly



# CPEIR continued

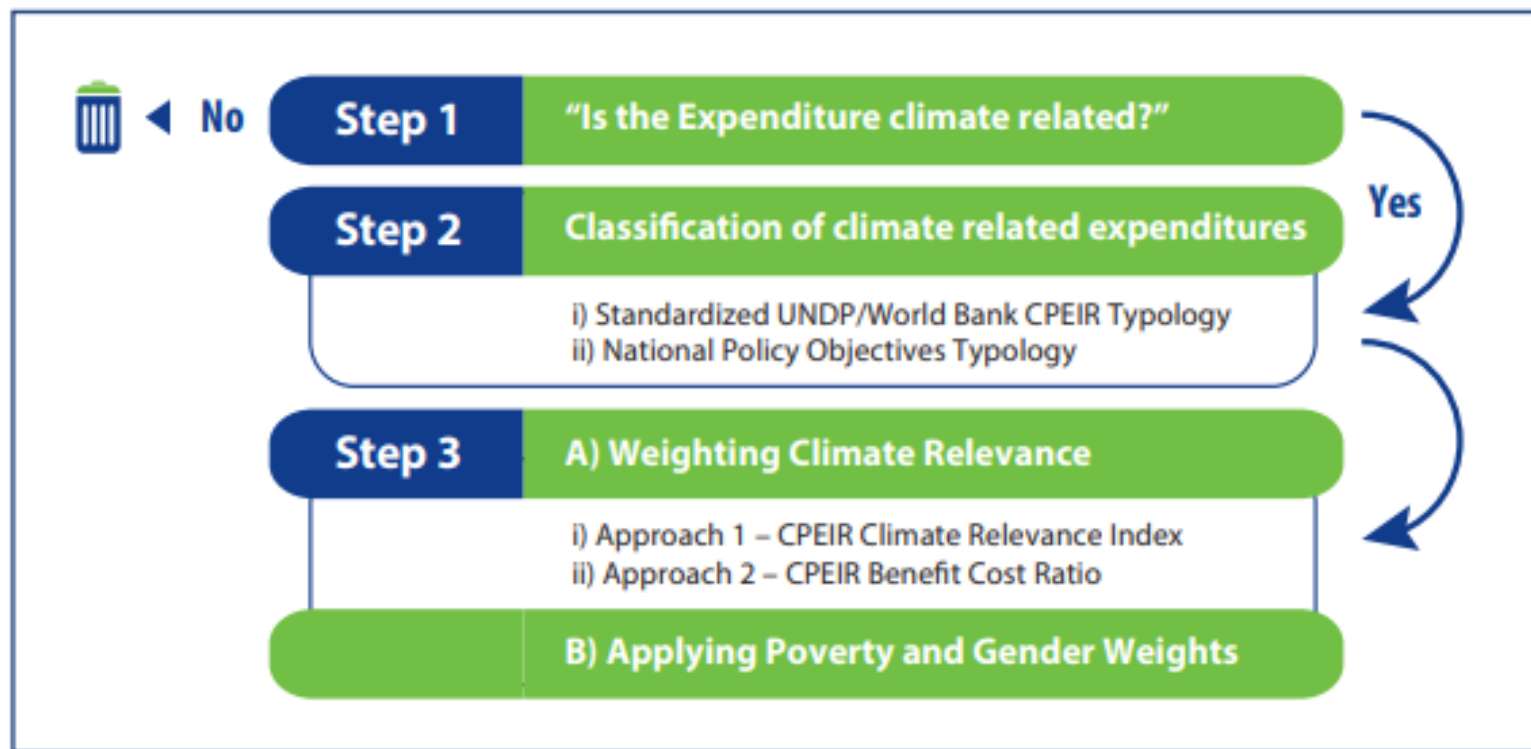


**Duration:** Six to nine months between the start of the review and the completion of the draft report. In addition three months to validate and finalise the report.

**Potential for permanent process:** Climate expenditure tracking tool where climate expenditures can be measured in a systematic and regular manner. However, may take years to establish, and CPEIR can be repeated regularly until then.



# CPEIR continued



# Example of weighing/scoring - CPEIR examples

High relevance	Rationale	Clear primary objective of delivering specific outcomes that improve climate resilience or contribute to mitigation
Weighting more than 75%	Examples	<ul style="list-style-type: none"><li>• Energy mitigation (e.g. renewables, energy efficiency)</li><li>• Disaster risk reduction and disaster management capacity</li><li>• The additional costs of changing the design of a programme to improve climate resilience (e.g. extra costs of climate proofing infrastructure, beyond routine maintenance or rehabilitation)</li><li>• Anything that responds to recent drought, cyclone or flooding, because it will have added benefits for future extreme events</li><li>• Relocating villages to give protection against cyclones/sea-level</li><li>• Healthcare for climate sensitive diseases</li><li>• Building institutional capacity to plan and manage climate change, including early warning and monitoring</li><li>• Raising awareness about climate change</li><li>• Anything meeting the criteria of climate change funds (e.g. GEF,PPCR)</li></ul>

Source: UNDP, A methodological guidebook climate public expenditure and institutional review (CPEIR)

# Example of scoring/weighting - CPEIR examples

Medium relevance	Rationale	Either (i) secondary objectives related to building climate resilience or contributing to mitigation, or (ii) mixed programmes with a range of activities that are not easily separated but include at least some that promote climate resilience or mitigation
Weighting between 50% to 74%	Examples	<ul style="list-style-type: none"><li>• Forestry and agroforestry that is motivated primarily by economic or conservation objectives, because this will have some mitigation effect</li><li>• Water storage, water efficiency and irrigation that is motivated primarily by improved livelihoods because this will also provide protection against drought</li><li>• Bio-diversity and conservation, unless explicitly aimed at increasing resilience of ecosystems to climate change (or mitigation)</li><li>• Eco-tourism, because it encourages communities to put a value of ecosystems and raises awareness of the impact of climate change</li><li>• Livelihood and social protection programmes, motivated by poverty reduction, but building household reserves and assets and reducing vulnerability. This will include programmes to promote economic growth, including vocational training, financial services and the maintenance and improvement of economic infrastructure, such as roads and railways</li></ul>

Source: UNDP, A methodological guidebook climate public expenditure and institutional review (CPEIR)

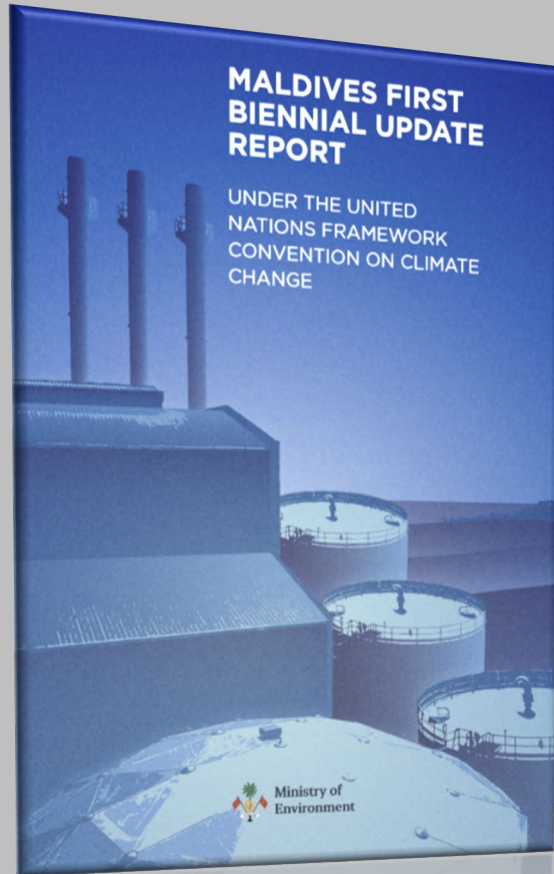


# Example of scoring/wieghing - CPEIR examples

Low relevance	Rationale	Activities that display attributes where indirect adaptation and mitigation benefits may arise
Weighting between 25% – 49%	Examples	<ul style="list-style-type: none"> <li>• Water quality, unless the improvements in water quality aim to reduce problems from extreme rainfall events, in which case the relevance would be high</li> <li>• General livelihoods, motivated by poverty reduction, but building household reserves and assets and reducing vulnerability in areas of low climate change vulnerability</li> <li>• General planning capacity, either at national or local level, unless it is explicitly linked to climate change, in which case it would be high</li> <li>• Livelihood and social protection programmes, motivated by poverty reduction, but building household reserves and assets and reducing vulnerability. This will include programmes to promote economic growth, including vocational training, financial services and the maintenance and improvement of economic infrastructure, such as roads and railways</li> </ul>
Marginal relevance	Rationale	Activities that have only very indirect and theoretical links to climate resilience
Weighting less than 25%	Examples	<ul style="list-style-type: none"> <li>• Short term programmes (including humanitarian relief)</li> <li>• The replacement element of any reconstruction investment (splitting off the additional climate element as high relevance)</li> <li>• Education and health that do not have an explicit climate change element</li> </ul>

Source: UNDP, A methodological guidebook climate public expenditure and institutional review (CPEIR)

# Maldives 1<sup>st</sup> Biennial Update Report



- ❖ Submitted in Nov 2019
- ❖ Reported Information on Finance include:
  - ❖ Financial Support Received
  - ❖ Non-Monetized Capacity Building and Technology Transfer Received
  - ❖ Support Received for BUR Preparation (Non- Monetized)
  - ❖ Information on Support Needed
- ❖ Tracking of Support received and needed not straightforward
- ❖ Financial Data was acquired via:
  - ✓ Ministry of Finance (donor + national budget and co-finance)
  - ✓ Ministry of Finance Data – corroborated with data from line ministries
  - ✓ Donor agreements (MOUs); donor contribution + national contributions in kind
- ❖ Definition of Adaptation vs mitigation
- ❖ Reporting formats/tables based on the Guidance provided by Consultative Group of Experts under UNFCCC/ best practices
- ❖ Covering Years 2014- 2017 (both years inclusive, periodically adjusted pro rata)

# Maldives: Donor Financing

Project	Description of project	Start Year	End Year	Donor	Implementing Entity	Supporting Entity/s	Support Received (USD)				Total budget allocated for the Reporting Period (USD)
							Grants	Loans	Co-Finance	Total	2014-2017 (In pro-rata basis)
<b>Project for Provision of a Solar Power Generation System to Dhiffushi Island</b>	Support the construction of a core solar power system in K. Dhiffushi. Coupled with the advanced technology of Kansai Electric Power and designed from the bottom up, this project is expected to provide momentum in the Maldives for a shift from full dependence on diesel generator to a hybrid system with a renewable energy.	2016	2016	Government of Japan	Ministry of Environment & STELCO		361,254.00	-	-	361,254.00	361,254.00
<b>Support of the Climate Neutrality Strategy of Maldives</b>	This project aims to ensure that the public institutions and private stakeholders in the Maldives have the skills and expertise necessary to devise comprehensive strategies for minimising harmful greenhouse gas emissions and to take appropriate action.	2011	2015	Government of Germany	Ministry of Environment	GiZ	3,387,675.00	-	-	3,387,675.00	1,355,070.00
<b>Preparing Outer Islands for Sustainable Energy Development (POISED)</b>	The project will install solar-diesel hybrid grids on outer islands and the greater Male region. Skills development support will also be given to the Ministry of Environment and Energy and the main power utilities State Electricity Company and FENAKA Corporation Ltd. for the hybrid rollouts	2014	2018	Grant: ADB, Strategic Climate Fund and Japan Fund for Joint Crediting Mechanism  Loan: European Investment Bank and Islamic Development Bank	Ministry of Environment, Fenaka & STELCO		55,000,000.00	60,000,000.00	-	115,000,000.00	99,200,000.00



# Maldives: Non-Monetized Capacity Building & Technology Transfer Received

- Period 2014- 2017
- No Straight forward way of collecting this information
- Due to time constraints; information was collected via the tables submitted by Annex 2 countries and only those trainings recorded at the Ministry of Environment

Description of Activity	Climate Relevance	Donor	Recipient Type
Energy + supports development of low-carbon and energy sector strategies, establish reference levels, and strengthen technical and institutional capacity to support private sector investment in developing countries. In this regard it will support the implementation of policy and legal reforms and the establishment of monitoring and reporting systems, and will promote regulatory regimes that provide incentives for commercial investment	Cross- Cutting	Norway	Multi-Country
The European Capacity building initiative (CBIT) was launched by Oxford Climate Policy (OCP) and International Institute of Environment and Development (IIED). This initiative builds and sustains the negotiating capacity of developing countries and builds trust between the developing and developed country climate change negotiators, in support of the UN climate change negotiations	Cross-cutting	Sweden	Multi-Country
Initiative to support developing countries to increased capacity related to greenhouse gas reporting under the UN climate change convention. The support is channeled through the UNFCCC secretariat that holds training to improve MRV of greenhouse gas emissions, targeting non-annex 1 countries	Cross-Cutting	Sweden	Multi- Country
Support (and demonstration) to the technical and economic viability of floating solar systems; capacity building for Maldivian SMEs and policy makers on business opportunities and economic welfare effects such as lowering of power prices; development of hybrid solar PV financing and operation models for different stakeholders (including community owned mini-grid operators)	Mitigation (Energy Sector)	Austria	Multi- Country
Training on sustainable Tourism, Coastal Area Risk Management and Reef Area Monitoring	Adaptation	SAARC	Multi-Country
Workshop on coastal zone issues and impacts on Coastal Communities	Adaptation	SAARC	SAARC member Countries
Seminar on Climate Change and Green Low-Carbon	Mitigation	China	SAARC member countries
Annual International Training Course; Global Warming Mitigation and Adaptation by balancing sustainable energy management	Cross-cutting	Thailand	Multi-Country

# Maldives: Information on Support Needed

- Via desk review of existing plans and policies + NDC Implementation Plan
- Followed by stakeholder consultations to identify key priorities and needs for the sectors
- These were compiled and validated via consultation with the sectors
- Table includes needs for;
  - Adaptation and Building Climate Resilience
  - Mitigation and enhanced GHG
  - Reporting/Enhanced Transparency
- Initial target was to include financial figures – however time constraints and lack of relevant expertise and capacity on methodologies

Activity	Alignment to National Policies	Implementing Entities	Scope of Support Needed
<b>Adaptation and Building Climate Resilience</b>			
Enhancing weather and climate monitoring in the Maldives and developing human resource capacity at Maldives Meteorological Service	In line with Goal 3 of the Maldives Climate Change Policy Framework  Integrated Adaptation Planning goals under NDC  Strategic Action Plan	Ministry of Environment and Maldives Meteorological Service	Capacity Building and Technology Transfer
Institutional strengthening for coastal monitoring	In line with Goal 3 of Maldives Climate Change Policy Framework  Integrated Adaptation Planning goals under NDC  Coastal protection guidelines prepared under the ICCR project  Strategic Action Plan	Ministry of Environment and Ministry of National Planning and Infrastructure	Capacity Building and low-cost financial grant
Assessment of vulnerabilities and risk reduction of health sector to vector borne diseases due to climate change impacts.	In line with Goal 3 of Maldives Climate Change Policy Framework  Integrated Adaptation Planning goals under NDC  Strategic Action Plan	Ministry of Health	Capacity Building
Enhancing Infrastructure Resilience to Climate Change Impacts	In line with Goal 3 of Maldives Climate Change Policy Framework  Integrated Adaptation Planning goals under NDC  Strategic Action Plan  Building Regulations  Sustainable Development Goals	Ministry of National Planning and Infrastructure	Low Cost Financial Grant
Strengthening Health Sector Emergency Response to floods and sea swells.	In line with Goal 3 of Maldives Climate Change Policy Framework  Integrated Adaptation Planning goals under NDC	Ministry of Health and National Disaster Management Authority (NDMA)	Low Cost Financial Grant and Capacity Building

# Lessons learned in Maldives:

Climate finance information is available with various entities in the country but there is no systematic collection, collation and archiving of this information.

Limited access to climate finance data for national projects and limited participation of line ministries in data analysis and reporting of finance data.

The contributions from donors to the Maldives are trackable, but requires the consultation with several sources that in itself are not systematically organized

Improving data access, in either a separate system, a system linked with the national budget, or a system that improves the access to the current sources of information is needed.

Inconsistencies between different publications/reports on climate finance, even some cases of the same institution

Lack of reporting on private sector's climate-related investments



**CHILE:**  
**4th Biennial Update Report-BUR (2020)**  
**Chapter: Needs and Support Received**



4to Informe  
Bial de  
**actualización**  
**de Chile**  
**sobre Cambio**  
**Climático**

# CHILE: General methodology & definitions

- ❑ Following the methodological framework provided by the Convention: Guidelines for the presentation of biennial update reports of Parties not included in Annex I of the Convention (Annex III, Decision 2 / CP17 \*); which specify that NAI countries, including Chile, must provide updated information on:
  - ❑ **Needs for climate action**, with respect to financial resources, capacity building and technical assistance, and technology transfer, including the analysis of their gaps and barriers.
  - ❑ **Support received** in the form of financial resources, capacity building and technical assistance, and technology transfer, received by the country from the Global Environment Facility, Parties included in Annex II of the Convention and other Parties that are developed countries , the Green Climate Fund and other multilateral institutions.

\* Information available at [http://unfccc.int/resource/docs/2011/cop17/spa/09a01s.pdf#page =](http://unfccc.int/resource/docs/2011/cop17/spa/09a01s.pdf#page=)

- ❑ The classification of both the needs and the support received is based on the **purpose of the contribution or the need**, and not on the administration of resources, nor on their pecuniary or non-pecuniary nature.
- ❑ From this, **three areas** were defined,
  - ❑ Policies, programs and projects (financial resources)
  - ❑ Capacity building and technical assistance
  - ❑ Technology transfer

## CHILE example: Structure and methodology of the "Needs" component

- ❑ Step 1: public or private

- ❑ Step 2: sort by Area (different for public and private):

### PUBLIC

- ❑ Reporting
- ❑ Mitigation
- ❑ Adaptation
- ❑ GHG Inventory
- ❑ International negotiations
- ❑ Cross-cutting

### PRIVATE

- ❑ water resource management
- ❑ banking
- ❑ commerce, telecommunications, services and tourism
- ❑ building
- ❑ energy
- ❑ mining
- ❑ fishing
- ❑ waste and recycling
- ❑ agriculture
- ❑ air and maritime transport
- ❑ food, containers and packaging

- ❑ Step 3: description of gaps, barriers, needs, and priority

## Methodology:

- ❑ Initial survey with a form template to fill
- ❑ Meetings with stakeholders: public and private
- ❑ Compilation and filling final tables
- ❑ Quality assurance (peer review)

# CHILE example: Mapping Support received: methodology and definitions

## ☐ Stage 1

- ☐ Registry
- ☐ ID
- ☐ Status

## ☐ Stage 2

- ☐ Survey
- ☐ Validation
- ☐ New data

## ☐ Stage 3

- ☐ Clarification
- ☐ Data crossover:
  - ☐ donors
  - ☐ implementers

## ☐ Stage 4

- ☐ Verification:
  - ☐ private
  - ☐ websites

## ☐ Stage 5

- ☐ COP25
- ☐ Ministry of Environment centralized dataset (OAI)

## 1) Support for the preparation and publication of national communications (reporting)

## 2) Support received (international) for activities related to climate change

- ☐ Financing of policies, programs and projects
- ☐ Capacity building and technical assistance
- ☐ Technology transfer

## Grant equivalent - how to estimate values of concessionality

- OECD: *"the grant equivalent is an estimate, at today's value of money, of how much is being given away over the life of a financial transaction, compared with a transaction at market terms. The grant equivalent is the grant element multiplied by the amount of money extended."*
- Grants have a grant element of 100% as they are fully provided as "gifts". By contrast, a loan offered at market terms has a grant element of 0%. However, with a concessional loan, the lender adds an element of generosity. Using grant equivalent thinking provides a more accurate estimate of the "effort" of a financial contribution.
- Not easy to calculate, no detailed agreement on approaches. Here are some examples of approaches from UK, IFC and GCF:
  - [https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/854342/Grant-Equivalent-Technical-Note1.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/854342/Grant-Equivalent-Technical-Note1.pdf)
  - <https://ida.worldbank.org/debt/grant-element-calculations>
  - <https://www.greenclimate.fund/document/grant-equivalent-calculator>
- The GCF tool is programmed in excel, considers loans, equity and guarantees, and calculate each element separately to arrive at a result.



# Grant equivalent GCF calculator tool

## The loan part

### Grant Equivalent Calculator



GREEN  
CLIMATE  
FUND

LEGEND  
Input  
Output



### LOAN

Please select the input mode

Standard

Standard mode

Please leave the relevant input cells blank if you wish to use the manual input mode

Total nominal loan amount (GCF portion)	US\$M	100.0	
Total nominal grant amount (GCF portion)	US\$M	2.0	
Annual commitment fee due to GCF	% of undisbursed amount (at the end of each year)	-	
Total other fees due to GCF on Day 0	US\$M (on Day 0)	-	
Tenor of GCF Loan	Years	20	
Grace period of GCF Loan	Years	3	
Does the grace period also apply to interest payments due to GCF?		No	Do not leave blank if there is a Grace Period. If selected 'No', grace period only affects principal repayment.
Repayment type		Equal principal payment	Please select the repayment type
Interest rate charged by GCF	% p.a. (to the outstanding amount at the end of each year)	2.00	
Loan Disbursement Schedule (GCF Portion)			
Year of disbursement		0	1 2 3 4 5 6 7 8 9 10
% of Total Nominal Loan Amount Disbursed (at the beginning of each year)		30.0	70.0
Sum		100%	Correct

Note: Disbursements are assumed to occur at the beginning of each period, and interest and commitment fee reflows at the end of each period, with interest accrued throughout the year.

Note: Principal repayments are also assumed to occur at the beginning of each period, starting from year 1.

DISCOUNT RATE INPUT

(applicable both to Standard and Manual

Please fill all input cells

Type of discount rate to be used		Uniform discount rate	Please select the discount rate to be used based on the loan structure
Manually input discount rate (if applicable)	% p.a.		Leave it empty if not available
Discount rate adopted	% p.a.	5.00	

# Examples of UNFCCC potential reporting tabular formats for support received

Table 7. Option for CTF table for financial support received

Reporting period: YYYY

Project/ Programme/ Activity	Amount received		Financial instrument	Channel	Status	Recipient Entity	Implementing Entity	Type of Support	Sector	Sub-Sector	Status of activity	Timeframe of activity	Use, impact and estimated results	Does activity Contribute to Capacity Building and/or Technology Development and Transfer
<i>Title of Project / Programme /Activity</i>	<i>Domestic Currency</i>	<i>United States Dollars<sup>a</sup></i>	<i>Grant; Concessional loan; Non-Concessional loan; Equity; Guarantee; Insurance; Other (specify)</i>	<i>Multilateral; Bilateral</i>	<i>Committed; Received</i>	<i>Governmental; non-governmental; national; subnational; other (specify)</i>		<i>Mitigation Adaptation Cross-Cutting</i>	<i>Energy; Transport; Industry; Agriculture; Forestry; Water and Sanitation</i>	<i>---</i>	<i>Planned; ongoing; completed</i>	<i>---</i>	<i>---</i>	<i>Y, N</i>
Project A														
Project B														
...														
Total														

Source: Falduto, C., Ellis J., 2019: *Reporting Tables – potential areas of work under SBSTA and options. Part II – Financial support provided, mobilised and received*, Climate Change Expert Group - Paper No. 2019(2). OECD IEA

a. Parties to specify in their BTR (documentation box) the exchange rate used.

Paragraph 134 of MPGs

Information on financial support received by developing country Parties under Article 9 of the Paris Agreement\*

Reporting parameters	Title	Programme/ project description	Channel	Recipient entity	Implementing entity	Amount received		Time frame	Financial instrument	Status	Type of support	Sector and subsector	Whether the activity has contributed to technology development and transfer and/or capacity-building	Status of activity	Use, impact and estimated results
						Domestic currency	USD								
Drop-down menu	Activity Programme Project								Grant Concessional loan Non-concessional loan Equity Guarantee Other	Committed Received	Mitigation Adaptation Cross-cutting			Planned Ongoing Completed	
Custom Footnotes															
* To the extent possible, and as available and as applicable															

Source: UNFCCC, 2019:  
SBSTA 50 agenda item  
10 (c), Informal note by  
the co-facilitators,  
Version 25/06/2019

# Take home points

Existing global databases to obtain information on support provided as base to map support received and cross reference

Access tools and make your own assessment of what you consider climate relevant and decide on appropriate weights

Learn from other countries' experience: study country BURs, reach out to countries to exchange ideas